

# Market Rules

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## **Chapter 3**

# **Administration, Supervision, Enforcement - Appendices**

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## Document Control

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### *Distribution List*

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## Appendix 3.1 – Application of Enforcement Actions

Non-compliance	Enforcement Action
Chapter 4, section 3.1.2	Sanctions as per section 6.6.5 or 6.6.6 Disconnection order as per section 6.5
Chapter 4, section 3.3.1.6	Sanctions as per section 6.6.5 or 6.6.6 Disconnection order as per section 6.5
Chapter 4, section 6.1.6	Sanctions as per section 6.6.5 or 6.6.6 Disconnection order as per section 6.5
Chapter 5, section 3.4.1.1	Formula based penalty as per section 6.6.8 of Chapter 3 where; D= load shedding capability required (MW) C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9
Chapter 5, section 3.4.1.2	Formula based penalty as per section 6.6.8 of Chapter 3 where; D= load shedding capability required including its restoration (MW) C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9
Chapter 5, section 3.5.1.1	Formula based penalty as per section 6.6.8 of Chapter 3 where; D= load shedding capability required including its restoration (MW) C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9
Chapter 5, section 3.6.1.1	Formula based penalty as per section 6.6.8 where; D= nominal generation capacity ( <i>MCR</i> ) barring declared limitations (MW) C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9
Chapter 5, section 3.7.1.1	Formula based penalty as per section 6.6.8 where; D= load shedding capability required (MW) C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9

Non-compliance	Enforcement Action
Chapter 5, section 6.3.10	<p>Formula based penalty as per section 6.6.8 where:</p> <p>D = the amount by which the <i>offer</i> made is less than the MW which the <i>generator</i> had agreed would be <i>offered</i> in support of the <i>planned outage</i> or the extension to or rescheduling of an <i>outage</i>.</p> <p>C = <i>market price</i> x amount to be determined by the <i>IESO</i> under section 6.6.9</p>
App 5.1, section 1.2.1	<p>Formula based penalty as per section 6.6.8 where;</p> <p>D= resource deviation (MW)</p> <p>C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9.</p>
App 5.1, section 1.2.4	<p>Formula based penalty as per section 6.6.8 where;</p> <p>D= resource deviation (MW)</p> <p>C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9.</p>
App 5.1, section 1.2.2	<p>Formula based penalty as per section 6.6.8 where;</p> <p>D= ramp rate deviation (MW)</p> <p>C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9.</p>
App 5.1, section 1.2.5	<p>Formula based penalty as per section 6.6.8 where;</p> <p>D= ramp rate deviation (MW)</p> <p>C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9.</p>
App 5.1, section 1.2.3	<p>Formula based penalty as per section 6.6.8 where;</p> <p>D= resource deviation (MW)</p> <p>C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9.</p>
App 5.1, section 1.2.6	<p>Formula based penalty as per section 6.6.8 where;</p> <p>D= resource deviation (MW)</p> <p>C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9.</p>
Chapter 5, section 10.3.3	<p>Formula based penalty as per section 6.6.8 where;</p> <p>D= deviation from direction (MW)</p> <p>C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9</p>
Chapter 5, section 10.3.4	<p>Formula based penalty as per section 6.6.8 where;</p> <p>D= deviation from direction (MW)</p> <p>C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9</p>

<b>Non-compliance</b>	<b>Enforcement Action</b>
Chapter 5, section 10.3.5	Formula based penalty as per section 6.6.8 where; D= deviation from direction (MW) C= <i>Market Price</i> x amount determined by the <i>IESO</i> under section 6.6.9

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