

## **IESO Communication on Standards – Supplemental Violation Risk Factors**

The NERC Standards Committee (SC) has authorized use of the Urgent Action process because NERC staff discovered that some requirements in some of the approved Version 1 standards were not assigned violation risk factors. To correct this error, violation risk factors have been drafted for those requirements associated with standards that are expected to become enforceable in June 2007. In accordance with the Urgent Action process in the *Reliability Standards Development Procedure*, these risk factors are posted for a 30-day, pre-ballot review and then will proceed immediately to ballot.

As you are aware, the violation risk factors are proposed for use when determining a penalty or sanction for a violation of the associated requirement and these need to be “in place” and ready for use in June 2007.

The IESO will provide you with its position on the supplemental violation risk factors as soon as it is finalized after discussions, both internally, and with market participants. Please let us know if you need additional information or clarification.

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**Sent:** March 15, 2007 1:31 PM

**Subject:** Announcement: Pre-ballot Window and Ballot Pool Opens March 15, 2007

This email was sent to the standards List Serve

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**Maureen E.Long**  
Standards  
ProcessManager



March 15, 2007

TO: REGISTERED BALLOT BODY

Ladies and Gentlemen:

**Announcement**  
**Pre-ballot Window and Ballot Pool Opens March 15, 2007**

**The Standards Committee (SC) announces the following standards actions:**

## **Pre-ballot Window and Ballot Pool for Supplemental Version 1 Violation Risk Factors for Standards Open March 15, 2007**

The Standards Committee has authorized use of the Urgent Action process because NERC staff discovered that some requirements in some of the approved Version 1 standards were not assigned violation risk factors. The violation risk factors are proposed for use when determining a penalty or sanction for a violation of the associated requirement and need to be “in place” and ready for use in June 2007. To correct this error, violation risk factors have been drafted for those requirements associated with standards that are expected to become enforceable in June 2007. In accordance with the Urgent Action process in the *Reliability Standards Development Procedure*, these risk factors are posted for a 30-day, pre-ballot review and then will proceed immediately to ballot.

[Supplemental V1 Violation Risk Factors](#) for some of the requirements in the following standards are posted for a 30-day pre-ballot review:

- FAC-003-1 – Transmission Vegetation Management Program
- COM-002-2 – Communication and Coordination
- PRC-005-1 – Transmission and Generation Protection System Maintenance and Testing

A new [ballot pool](#) to vote on this set of violation risk factors has been formed and will remain open up until 8 a.m. (EST) Monday, April 16, 2007. During the pre-ballot window, members of the ballot pool may communicate with one another by using their “ballot pool list server1.” The list server for this ballot pool is called: [bp-Supplemental\\_V1\\_VRF\\_in@nerc.com](mailto:bp-Supplemental_V1_VRF_in@nerc.com)

The initial ballot for this set of violation risk factors will open at 8 a.m. (EDT) on Monday, April 16, 2007.

### **Standards Development Process**

The [Reliability Standards Development Procedure](#) contains all the procedures governing the standards development process. The success of the NERC standards development process depends on stakeholder participation. We extend our thanks to all those who participate. If you have any questions, please contact me at 813-468-5998 or [maureen.long@nerc.net](mailto:maureen.long@nerc.net).

Sincerely,

***Maureen E. Long***

cc: Registered Ballot Body Registered Users  
Standards Mailing List  
NERC Roster